APPLICATION FOR ADMISSION REV. 12/2012

APPLICATION FOR ADMISSION OF LICENSED ATTORNEY TO PRACTICE BEFORE THE MASHANTUCKET PEQUOT TRIBAL COURT



PLEASE TYPE OR PRINT NEATLY

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| OFFICE/FIRM NAME AND ADDRESS: (Street | et) | | |
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| LAW SCHOOL ATTENDED AND DATES: | | <u> </u> | |
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| ADMITTED IN CONNECTICUT? | JURIS NUMBER | YEAR ADMI | TTED |
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| OTHER JURISDICTION(S) ADMITTED TO PRA | ACTICE: | YEAR(S) AD | DMITTED |
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FEE FOR APPLICATION: \$125.00

PLEASE MAKE CHECK OR MONEY ORDER PAYABLE TO: MASHANTUCKET PEQUOT TRIBAL COURT

SEND APPLICATION AND FEE TO:

MASHANTUCKET PEQUOT TRIBAL COURT

P.O. Box 3126

Mashantucket, CT 06338-3126

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ALL APPLICANTS MUST COMPLETE THE FOLLOWING:

1. Have you ever been convicted of a criminal charge? If so, list each conviction including the initial charges if different, and submit a copy of the arrest report and all other documents relevant to your conviction.

| CONVICTED OF: | DATE OF CONVICTION: | FORUM: | INITIAL CHARGE: |
|---------------|---------------------|--------|-----------------|
| | | | |
| | | | |
| | | | |

2. Are there any pending criminal charges against you? If so, list each charge and submit a copy of the arrest report and all other documents relevant to the pending charge.

| CHARGE: | DATE OF CHARGE: | FORUM: | INITIAL CHARGE: |
|---------|-----------------|--------|-----------------|
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- **3.** Has any disciplinary action ever been imposed against you, including but not limited to denial, deprivation or suspension of any license to practice law? If so, explain:
- 4. a) Have you ever been bonded under a surety bond?
 - b) If so, has anyone ever sought to recover on or cancel such bond? If so, explain:
- **5.** Have you ever been refused a fidelity or other bond? If so, explain:

ALL ATTORNEY APPLICANTS MUST COMPLETE THE FOLLOWING:

- 1. What percentage of your legal practice is devoted to criminal work?
- 2. How many criminal cases have you tried to judgment?
- 3. If admitted, would you like to have your name placed on a Court-maintained list of:
 - a) Attorneys who may be contacted for private representation by persons accused of violating Tribal criminal law?
 - b) Attorneys who may be appointed as public defenders for indigent persons accused of violating Tribal law and whose fees will be paid as allowed by Administrative Orders?

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Applicants are also required to review the statements of law and corresponding materials beginning on page 5 of this Application and return a signed Affidavit for Admission to the Mashantucket Pequot Tribal Bar to the Clerk of the Court.

There is a one-time \$125.00 application fee.

Please note that as of December 6, 2012, only non-licensed applicants are required to take a written bar examination.

RELEASE AND CERTIFICATION

| Applicant's Social Security Number |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Applicant's Date of Birth |
| Applicant's Name / Signature |
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| |
| ovided above, all information solicited and received for the d limited to official use by the MPTC and its authorized |
| the MPTC and its authorized representatives and any persons from any and all liability of every nature and kind arising out of whalf of and to the MPTC. |
| Wanted Persons Check iving History cks as enumerated: |
| not Tribal Court and the Mashantucket Pequot Tribal Police their authorized representatives to conduct a criminal records enter (NCIC) and the Federal Bureau of Investigation or the from my official record concerning any arrest or conviction of fidelity or surety bond, and I hereby consent to and authorize if this criminal records check will be used only to determine my ensed Attorney to Practice Before the Mashantucket Pequot the Mashantucket Tribal Police Department may obtain the bund Investigation of me for the aforesaid reason: |
| on will be verified in order to process my application for tion in the application may result in the denial or forfeiture of |
| s part of my Application for Admission to Practice Before the ve an investigation made as to my application and fitness to further information that may be required in reference to my to receive a copy of the investigation or to know its contents. |
| |

Please read and review the following statements of law and initial next to each statement. The citation for each referenced statute and rule is provided for further research and review.

Jurisdiction of Tribal Court

| • | The Tribal Court has subject matter jurisdiction over all civil causes of action and criminal matters | as |
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| | expressly conferred upon it by the Tribal Council through enactment of tribal laws. The Tribal Court h | has |
| | jurisdiction over the Tribe and tribal enterprises only where the Tribal Council has expressly a | and |
| | unequivocally waived its sovereign immunity from suit either in tribal law or in connection with a particular | ular |
| | transaction or event. 1 MPTL ch. 1, § 2. | |
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Family and Child Custody Matters

| • | The Tribal Court has jurisdiction over child custody proceedings involving a child who resides or is |
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| | domiciled within the Mashantucket Pequot Reservation, settlement area, or trust lands, or a child who is a |
| | ward of the Court, notwithstanding the residence or domicile of the child. The Court also has jurisdiction |
| | over any adult residing in the child's home to the extent necessary to issue orders that protect the best |
| | interest of the child. 5 MPTL ch. 2. |

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The Tribal Court has exclusive jurisdiction over juvenile offenders. Juvenile offenders are those under the
age of 18. Juvenile offender proceedings are closed to the public, and records of the proceedings are
sealed. 2 MPTL ch. 1, § 3(c).

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The Tribal Court has jurisdiction over a dissolution of marriage action or annulment provided at least one party to the action is a member of the Tribe. Both parties to a divorce action need not be Tribe members.
 6 MPTL ch. 4, § 1(a).

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• The Connecticut Superior Court has jurisdiction over a dissolution of marriage action brought by an individual who is not a resident of Connecticut against a member of the Mashantucket Pequot Tribal Nation who resides on the Tribe's reservation. *Charles v. Charles*, 243 Conn. 255 (1997).

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| Initials: | |

• A petition to terminate parental rights may be filed by either or both parents, the guardian of the child, the Tribal Prosecutor, or any person possessing a legitimate interest in the matter. **5 MPTL ch. 5, § 3(a).**

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| _ | When placing a shild into protective or factor care the professioned for placements are | oo follower (1) |
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| • | When placing a child into protective or foster care the preferences for placements are child's family or extended family; (2) MPTN tribal member; (3) MPTN tribally approved and (4) other Indian family. 5 MPTL ch. 3, § 8(a). | ` , |
| | and (1) outer malan ranning. • IIII 12 on 6, 3 o(a). | Initials: |
| Trials | <u>S</u> | |
| • | All actions against the Tribe or a tribal enterprise are tried by the tribal court and not by a ch. 1, § 5(a). | |
| | | Initials: |
| • | All trials of criminal offenses are tried by the Court without a jury unless the defendant rejury within 30 days of arraignment. A judge will make a finding of guilty or not guilty. entitled to a jury trial where imprisonment is a possible penalty for the offense charge composed of six jurors with one alternate, all of whom must be members of the Masha Tribe. 2 MPTL ch. 1, § 21(a). | A defendant is ed. Juries are |
| | | Initials: |
| <u>Crim</u> | inal Matters | |
| • | For purposes of the Mashantucket Pequot Criminal Law, 2 MPTL ch. 1 , "Indian" means is an enrolled member of a federally recognized tribe which is contained on the most federally recognized tribes published in 65 Fed. Reg. 49. | • • |
| | | Initials: |
| If a Tribal Police Officer arrests a non-Indian for violation of tribal law, the officer must transfer cus control of the non-Indian to the appropriate authorities. 2 MPTL ch. 1, § 15 provides that the office advise the non-Indian of his or her Missagle rights. | | • |
| | advise the non-Indian of his or her Miranda rights. | Initials: |
| • | Arrest warrants and summonses may be issued by tribal judges from locations off the nation lands by they must be executed by the Tribal Police within the Nation's lands. 2 MPTL ch. 1, § 7(e). | |
| | | Initials: |
| • | The date and time of arraignment in all matters will not be more than 14 days after the days detention. 2 MPTL ch. 1, § 16 | ate of the arrest |
| | | Initials: |
| • | The Pre-Trial Intervention Program is a rehabilitative program. Please review the application criteria provided in 2 MPTL ch. 7 , § 1 . A request to enter the PTI program within 14 days of arraignment. | |

Initials:_____

| • | It is a criminal offense to threaten a member of Tribal Council. A person convicted of this crime will be |
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| | punished by incarceration for a minimum of thirty days and up to a maximum of one year. The convicted |
| | person is ineligible for the Pre-Trial Intervention Program. 2 MPTL ch. 4, § 1, 4 MPTL ch. 4, § 1. |

| | person is ineligible for the Pre-Trial Intervention Program. 2 MPTL ch. 4, § 1, 4 MPTL ch. 4, § 1. | |
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| | | Initials: |
| <u>Mash</u> | nantucket Rules of Civil Procedure | |
| • | Mashantucket Rules of Civil Procedure mirror the Federal Rules of Civil Procedure. | Initials: |
| • | Oppositions to motions may be filed along with any supporting documents within 14 day of the motion, unless another time is set by the Court. Failure to request oral argumemorandum in opposition constitutes a waiver of all objections to the motion. Motions upon the opposing party together with a blank "Request for Argument" form. MPRCP | nent <u>or</u> to file a shall be served |
| | | Initials: |
| • | Only a Tribal Police Officer may serve subpoenas on Mashantucket Pequot tribal lands. Some served outside tribal lands by any person authorized to serve process within the juperson to be subpoenaed. MPRCP 45(b)(1). | |
| | | Initials: |
| Mash | nantucket Pequot Civil Rights Code | |
| • | Under the Code, persons on the reservation do not have the right to bear arms. The Cod the Tribe shall not make or enforce laws that prohibit freedom of speech, freedom of exercise of religion, right to peacefully assemble and to petition for a redress of a grieven | the press, free |
| | | Initials: |
| <u>Tort</u> | <u>Claims</u> | |
| • | The statute of limitations is <u>one year</u> from the date that the claim accrued. The accrual on which the injury was sustained. 4 MPTL ch. 1 § 5. Please note the difference statute and the State of Connecticut's statute of limitations. | |
| | | Initials: |
| • | The Court may enter an award for actual damages for judgments against the Masha Gaming Enterprise. In addition, the Court can enter an award for pain and suffering or man amount not to exceed 200% of the actual damages sustained. 4 MPTL ch. 1, § 4(a) | ental anguish in |

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| <u>Empl</u> | oyment Matters |
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| • | 8 MPTL ch. 1, § 3(f) outlines an employee's procedural due process rights as defined by the Employee Review Code. Under this title, an employee has the right to adequate notice of the Disciplinary Action, including the basis of the action, a meaningful opportunity to be heard, including an opportunity to present and question witnesses at the Board of Review, and representation by counsel in the Board of Review, at the employee's expense. |
| | Initials: |
| • | Aggrieved Tribal employees must exhaust all tribal administrative remedies. An action pursuant to 8 MPTL ch. 1 § 2(c) is a plaintiff's exclusive cause of action provided that he or she has exhausted all tribal administrative remedies. Notwithstanding the foregoing, if there is a Collective Bargaining Agreement in effect and it gives the Employee the option of either a Board of Review or arbitration to challenge Disciplinary Actions, Employees covered by that Collective Bargaining Agreement may select arbitration as provided under the Collective Bargaining Agreement and if an Employee selects arbitration it shall be the Employee's exclusive remedy against the Employer. |
| | Initials: |
| • | When filing an employee appeal, an employee must file a notice of appeal in Tribal Court within 30 days after the Board of Review's final decision has been personally served upon the employee. |
| | Initials: |
| • | All employee appeals are tried to the Court and not to a jury. |
| | Initials: |
| <u>Prob</u> | ate Matters |
| • | In a probate matter, the Rule Against Perpetuities cannot invalidate a trust created by the Mashantucket Pequot Tribal Nation for the benefits of its employees. 24 MPTL ch. 7, § 21 . |
| | Initials: |
| <u>Cont</u> | ract Matters |
| • | In any contract or agreement, the parties may stipulate upon the jurisdiction whose substantive law shall govern the instrument's interpretation and enforcement. The choice of law is not subject to revocation by one party without consent of the other, provided that the subject matter of the instrument and at least one party has some contact with the jurisdiction selected. 10 MPTL ch. 1, § 3(a). |
| | Initials: |

The Tribal Court does not have jurisdiction over any action arising from a contract, approved by the Tribal Council, to which the Tribe is a party or by which it is bound if the contract contains an express provision

prohibiting the exercise of jurisdiction by the Tribal Court over actions arising from the contract., whether approved prior to or subsequent to the enactment of 1 MPTL ch. 1, § 2(c). The Tribal Court shall have jurisdiction to enforce an agreement to arbitrate or an arbitrationa ward relating to such contract if the contract provides for such action. 12 MPTL ch. 1, § 1(d)(1).

| contract provides for such action. 12 MPTL ch. 1, § 1(d)(1). | ntract if the |
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| Mashantucket Pequot Tribal Council | ials: |
| Under Title 4, Tort Claims, members of the Tribal Council remain immune from suit for ac within the scope of their duties and responsibilities as members of the Tribal Council. | tions taken |
| Init | ials: |
| • Mashantucket Pequot Rule of Evidence 508 provides that if the Mashantucket Pequot Tri resolves that a matter is private, the courts of the Mashantucket Pequot Tribe must recognize as privileged. Executive privilege in any matter relating to official Mashantucket Pequot tribal extended to: (1) present and former members of the Mashantucket Pequot Tribal Council members of the Mashantucket Pequot Tribal Council; (3) Mashantucket Pequot Council members; (4) any employee reporting to the Mashantucket Pequot Tribal Council; (5) attorney by the Mashantucket Pequot Office of Legal Counsel; (6) Mashantucket Pequot Peacemake direct report staff; and (7) Mashantucket Pequot Elders Council and their direct report staff covered by the privilege cannot testify in a Tribal Court proceeding without authorizat Mashantucket Pequot Tribal Council. | e the matter business is cil; (2) staff Committee s employed rs and their ff. Persons |
| Init | ials: |
| Mashantucket Pequot Gaming Commission | |
| The Gaming Commission has the power to impose penalties for violations of the Gaming Copower to bring any civil action or criminal complaint in the courts of the State or the Unite enforce the provisions of the Gaming Compact, and the power to receive complaints from employees or members of the public. 3 MPTL ch. 1, § 7. | d States to |
| | no Camina |
| The Tribal Court does not have jurisdiction to hear any appeal from a judgment of the Commission. | als: |

The Tribal Court may not issue any of the following in a tort action against the Mashantucket Pequot Gaming Enterprise: (1) a declaratory judgment or award of injunctive relief; (2) an award based upon a rule of law imposing absolute or strict liability; (3) an award for punitive or exemplary damages; and (4) an award based upon a claim of loss of consortium.

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| • | or arising at the Gaming Enterprise Site. | |
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| | or anomy at the Caming Emerphor Cher | Initials: |
| | Mashantucket Pequot Court of Appeals | |
| • | Notice of appeal in a civil case must be filed within 20 days of the filing of the final judgme Court. MPRAP 3(a). | nt of the Tribal |
| | | Initials: |
| • | Notice of appeal in a criminal case shall be filed within 20 days of the date the sentence in MPRAP 3(b). | s pronounced. |
| | | Initials: |
| • | Failure to file an appeal within the prescribed time limits will result in the dismissal of the | appeal. |
| | | Initials: |
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In addition to the above statements of law, applicants are required to review the following (copies of which are provided herewith):

- Constitution
- Mashantucket Rules of Civil Procedure
- Mashantucket Rules of Evidence
- Mashantucket Rules of Legal Counsel Conduct

| IN THE STATE OF: |) |
|-------------------------------------------------------|------------------------------------------------------------|
| IN THE STATE OF: |) SS: |
| AFFIDAVIT FOR ADMISSION TO THE | E MASHANTUCKET PEQUOT TRIBAL BAR |
| I,, represent t | to the Chief Judge of the Mashantucket Pequot Tribal Court |
| that I am a licensed attorney in good standing in the | e State of I hereby certify |
| that I have thoroughly reviewed the foregoing mate | erial and that all questions have been answered fully and |
| frankly to the best of my knowledge. | |
| I further represent that as a member of the | Mashantucket Pequot Tribal Court Bar, I will abide by the |
| ethical standards contained in the Mashantucket Pe | equot Rules of Legal Counsel Conduct and will continue to |
| familiarize myself with the practices and procedures | s of the Mashantucket Pequot Tribal Court. |
| | |
| Applicant's Signature | Date |
| Subscribed and sworn to before me, | , this day of |
| | Notary Public / Commissioner of the Superior Court |